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# Convex compact subsets of weak<sup>★</sup> dual

Let  $X$  be a  $\mathbb{R}$ -Banach space and  $K \subseteq X^\star$  be a convex, weak<sup>★</sup>-compact subset of the dual space. Then  $K$  has an extreme point.

## for separable Banach spaces

Let  $\{x_n\}$  be a countable dense subset of  $X$ . Then

$$\text{ev}_{x_n} : X^\star \rightarrow \mathbb{R}$$

• **Definition.**

$$l_1 := \sup_{f \in K} \underbrace{\text{ev}_{x_1}(f)}_{f(x_1)}$$

• Since  $K$  is compact and  $\text{ev}_{x_1}$  is continuous,  $l_1 < \infty$  is attained, say at  $f_1 \in K$ , that is

$$\text{ev}_{x_1}(f_1) = l_1$$

• **Definition.**

$$l_2 := \sup_{f \in K, \text{ev}_{x_1}(f)=l_1} \text{ev}_{x_2}(f)$$

• Again, the set  $K \cap \text{ev}_{x_1}^{-1}(l_1)$  is compact, so  $\exists f_2 \in K$  such that

$$\begin{aligned} \text{ev}_{x_2}(f_2) &= l_2 \\ \text{and } \text{ev}_{x_1}(f_2) &= l_1 \end{aligned}$$

• Inductively, we have a sequence

$$f_1, f_2, \dots \in K$$

such that for each  $n$

$$\begin{aligned} \text{ev}_{x_1}(f_n) &= l_1 \\ \text{ev}_{x_2}(f_n) &= l_2 \\ &\dots \\ \text{ev}_{x_n}(f_n) &= l_n \end{aligned}$$

and

$$l_{n+1} = \sup_{\substack{\text{ev}_{x_1}(f)=l_1 \\ x \in K, \dots \\ \text{ev}_{x_n}(f)=l_n}} \text{ev}_{x_{n+1}}(f)$$

• Let  $f$  be an accumulation point of  $\{x_n\}$ . Then  $f \in K$ .  
• For a fixed  $j$ ,

$$\text{ev}_{x_j}(f_n) = l_j$$

for all large  $n$ .

• Therefore

$$\text{ev}_{x_j}(f) = l_j$$

for all  $j$ .

**Proposition:**  $f \in K$  is an extreme point

Let

$$f = \frac{1}{2}(y_1 + y_2)$$

for some  $y_1, y_2 \in K$ .

• Then evaluating  $x_1$  we have

$$\begin{aligned} l_1 &= \text{ev}_{x_1}(f) = \text{ev}_{x_1}\left(\frac{1}{2}(y_1 + y_2)\right) \\ &= \frac{1}{2}(\text{ev}_{x_1}(y_1) + \text{ev}_{x_1}(y_2)) \end{aligned}$$

• Since  $l_1$  is the supremum of  $\text{ev}_{x_1}$  on  $K$  we have

$$\begin{aligned} \text{ev}_{x_1}(y_1) &\leq l_1 \\ \text{ev}_{x_1}(y_2) &\leq l_1 \end{aligned}$$

• By

Let  $F$  be an ordered field and  $a, b \in F$ . We have

$$\frac{a+b}{2} \in [a, b]$$

and

$$\begin{aligned} \frac{a+b}{2} &\in \{a,b\} \\ \iff a &= b \\ \iff a &= \frac{a+b}{2} = b \\ \iff \frac{a+b}{2} &\leq a, \frac{a+b}{2} \leq b \end{aligned}$$

we have

$$\text{ev}_{x_1}(y_1) = l_1 = \text{ev}_{x_1}(y_2)$$

- Similarly,

$$\text{ev}_{x_k}(y_1) = l_k = \text{ev}_{x_k}(y_2)$$

- However,  $\{x_k\}$  is dense in  $X$ . This implies

$$y_1 = y_2$$

- Therefore,  $f \in K$  is extreme.

[1]

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1. [Herbert Alexander, John Wermer - Several Complex Variables and Banach Algebras \(1998, Springer\),.](#), p.17 ↩